

Unambiguity in Transducer Theory

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Université libre de Bruxelles (ULB), Belgium

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Dagstuhl Seminar on Unambiguity

WHAT IS A (ONE-WAY) TRANSDUCER?

TRANSDUCERS are automata with output.

$A = (\Sigma, Q, q_0, F, \Delta) \leftarrow \text{NFA}$ ↘ Monoid

$T = (A, o)$ where $o: \Delta \rightarrow (M, \otimes)$

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$$\text{RELATION } [T] \subseteq \Sigma^* \times M$$

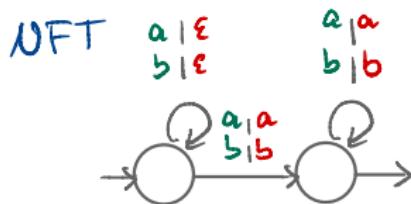
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NON-DET. FINITE TRANSDUCER

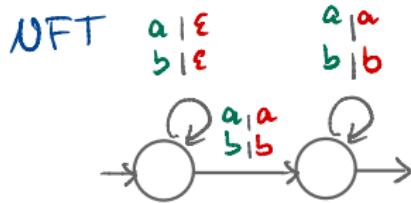
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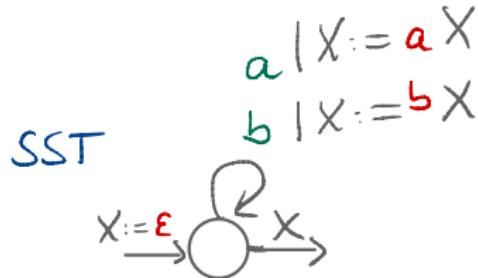
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STREAMING STRING TRANSDUCER
Alur, Cerny '10

FUNCTIONAL TRANSDUCERS

- $T = (A, \sigma)$ is FUNCTIONAL if $\llbracket T \rrbracket$ is a function.

FUNCTIONAL TRANSDUCERS

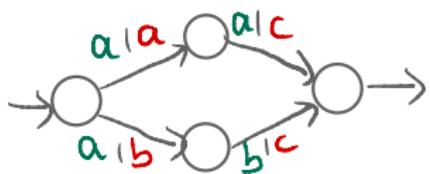
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EXAMPLES

- unambiguous

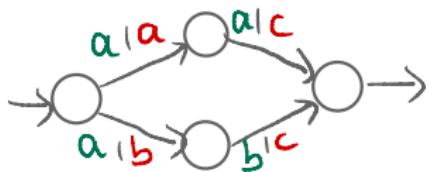


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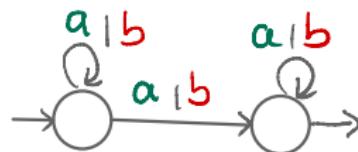
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EXAMPLES

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- nm-deterministic

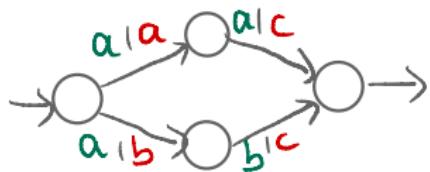


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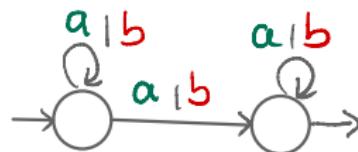
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EXAMPLES

- unambiguous



- nm-deterministic



- sequential (= input-deterministic)



FUNCTIONALITY \equiv UNAMBIGUITY

Thm Every function recognized by a transducer
 $T = (\Delta, \sigma: \Delta \rightarrow \Sigma)$ can be recognized by an unambiguous one.

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EXAMPLES

- RATIONAL FUNCTIONS

$$\{NFTs\} = \bigcup FTs$$

$$M = (\Sigma^*, \cdot)$$

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EXAMPLES

- RATIONAL FUNCTIONS

$$\text{f NFTs} \equiv \text{UFTs}$$

$$M = (\Sigma^*, \cdot)$$

- REGULAR FUNCTIONS

$$\text{f SSTS} \equiv \text{USSTS}$$

$$M = (\text{Substitutions}_X, \circ)$$

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- REGULAR FUNCTIONS

$$f_{SSTs} \equiv USSTs$$

$$M = (\text{Substitutions}_X, \circ)$$

- functional SVM-automata \equiv unambiguous SVM-automata

$$M = (\mathbb{Z}, +)$$

MORE GENERAL RESULT (UNIFORMISATION PROPERTY)

Thm Every relation recognized by a transducer contains a function with equal domain that can be recognized by an unambiguous transducer.

$$\forall R \exists f : f \subseteq R \wedge \text{dom}(f) = \text{dom}(R)$$

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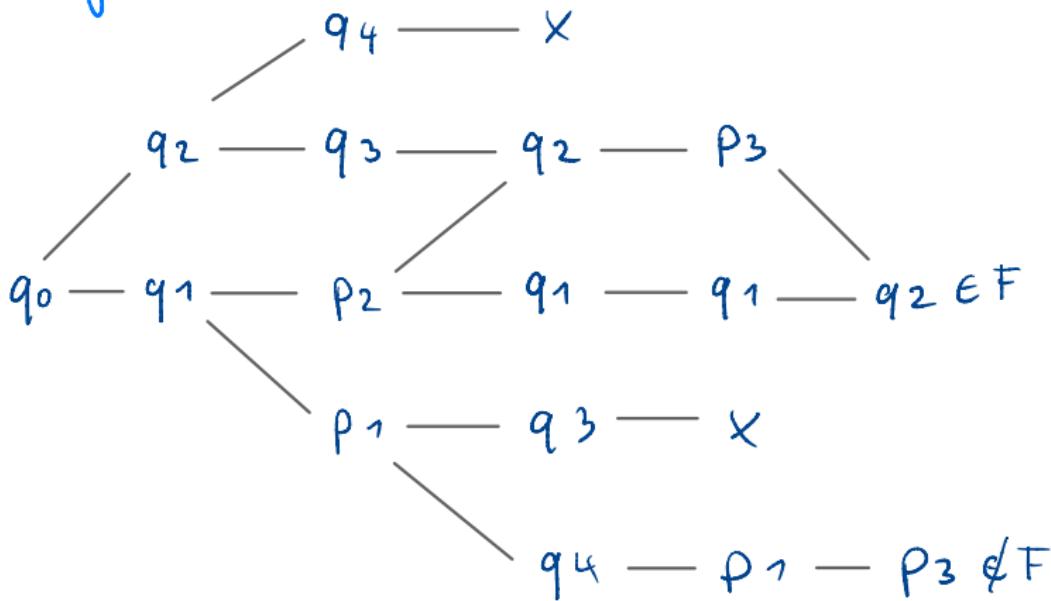
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Proof Order the runs. For each input word select the smallest accepting run.

↗ One of many proofs.
(First one: Eilenberg '74)

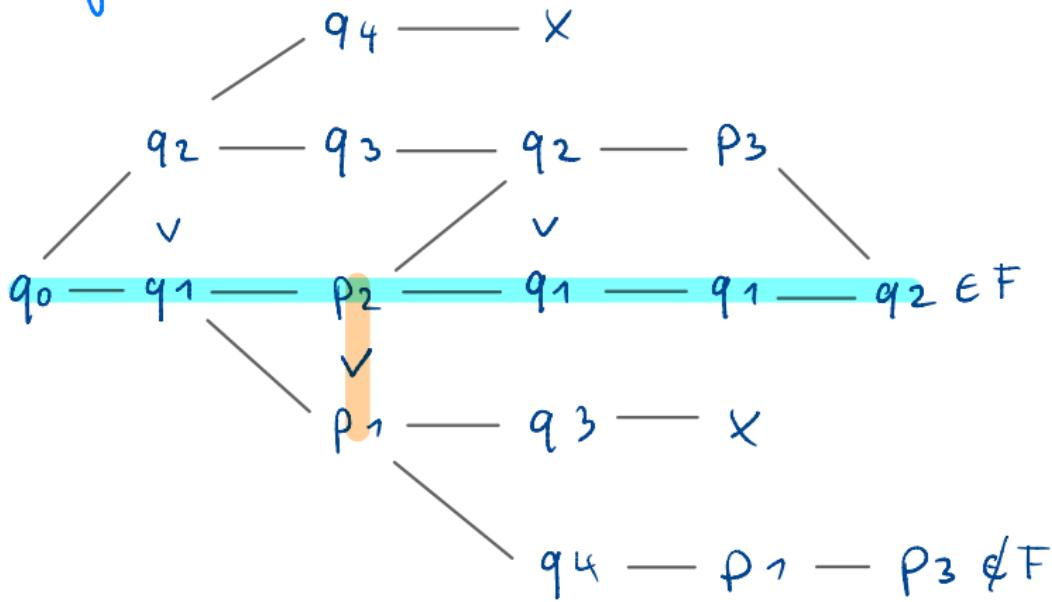
PROOF

Selecting the smallest run via any fixed ordering on the states.



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$$\begin{array}{ccccccc}
 q_0 & q_1 & p_2 & q_1 & q_1 & q_2 \in F \\
 \emptyset & \emptyset & \{p_1\} & \{q_3, q_4\} & \{p_1\} & \{p_3\} \cap F = \emptyset
 \end{array}$$

TRANSDUCERS ON INFINITE WORDS

$T = (\Delta, \sigma)$, Δ with Büchi acceptance.

Thm Every relation recognized by a Büchi-transducer contains a function with equal domain that can be recognized by an unambiguous Büchi-transducer.

Proof Order the runs. Select the smallest accepting run.

⚠ Not every state ordering works ⚠



$2 < 1$: For every accepting run
there exists a smaller
accepting run.

PROOF IDEA: HOW TO ORDER RUNS

Choffrut & Grigneff '99

Cut runs into segments at final states

	F	F	F	F	
ρ	ρ_1	ρ_2	ρ_3	ρ_4	ρ_5
ρ'	ρ'_1	ρ'_2	ρ'_3	ρ'_4	ρ'_5

F F F F F

- Segment $\rho_i < \rho'_i$ if $\rho_i <_{lex} \rho'_i$ for some fixed ordering on Q
length-lexicographic
- Run $\rho < \rho'$ if there is some i s.t. $\rho_i < \rho'_i$ and
 for all $j < i$: $\rho_j = \rho'_j$.

SUCCINTNESS OF UNAMBIGUOUS TRANSDUCERS

Thm

NFA

\leq_{up}

UFA

Schmidt'77

SUCCINTNESS OF UNAMBIGUOUS TRANSDUCERS

Thm NFA \leq_{up} UFA Schmidt '77

- $L_n = \{u \# v \mid |u|=|v|=n, u \neq v, u, v \in \{a,b\}^*\}$

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- Smallest NFA is of size $O(n)$: Guess i s.t. $u_i \neq v_i$
- Up to n different accepting runs

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Thm $\text{NFA} \leq_{\text{exp}} \text{VFA}$ Schmidt '77

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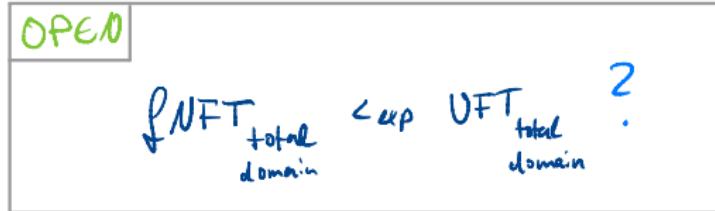
$\Rightarrow f \text{NFT} \leq_{\text{exp}} \text{VFT}$

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IS UNAMBIGUITY NECESSARY?

EXAMPLES (from $M = \Sigma^*$)

$$f_1: a^n \mapsto \begin{cases} a^n & n \text{ odd} \\ b^n & n \text{ even} \end{cases}$$

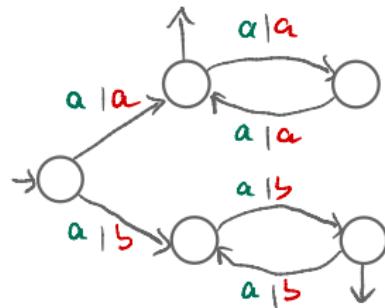
a^n
 b^n

$n \geq 1$

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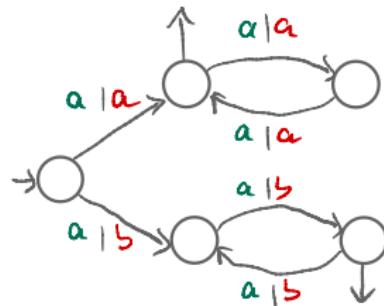
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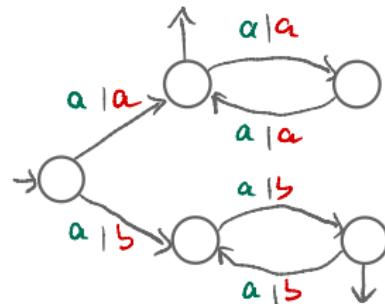
$$u \in \{a,b\}^*$$

$$n \geq 0$$

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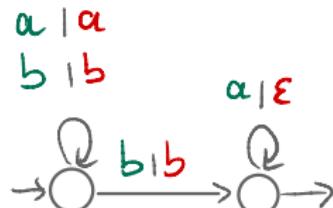
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alphaaaau \rightarrow auauaa

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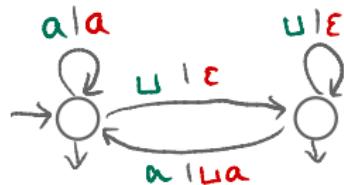
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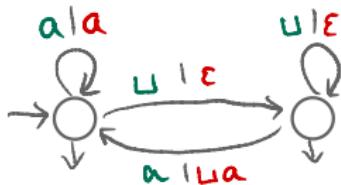
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Thm Given a fNFT T , it is decidable in PTIME whether T is equivalent to some sequential (=input-deterministic) transducer.

Béal, Carton, Prieur, Sakarovitch '03

REMOVING UNAMBIGUITY WITH TWO-WAYNESS

$f_2: uba^n \mapsto ub$

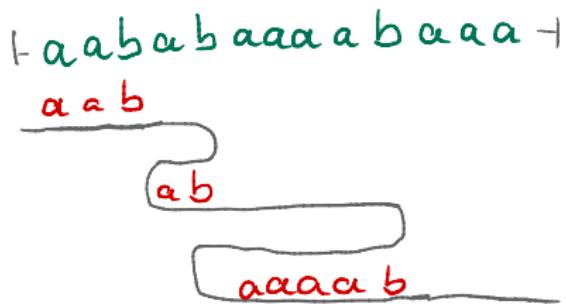
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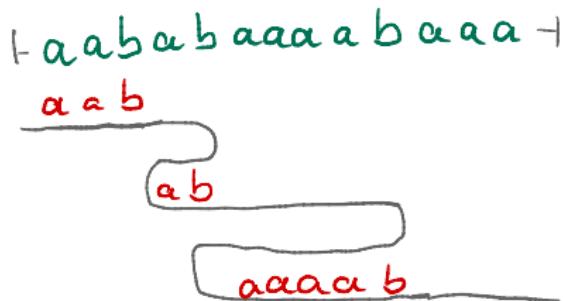
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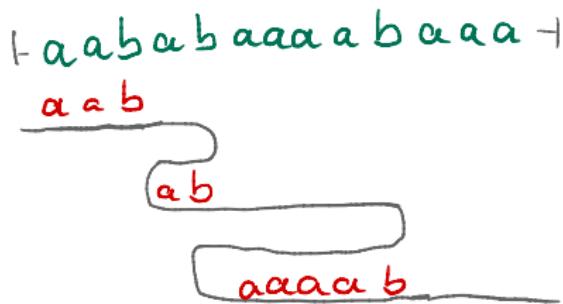
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Chytík, Jákl '77

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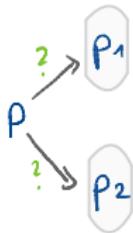


and co-deterministic

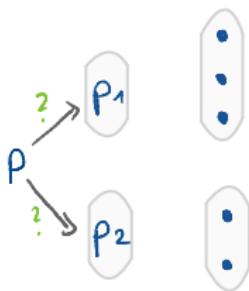
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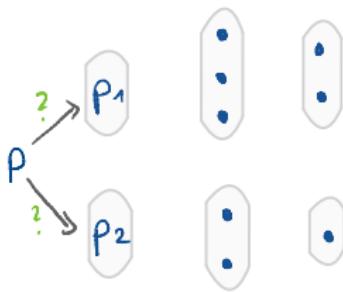
HEART OF THE CONSTRUCTION: HOPCROFT-ULLMAN '67



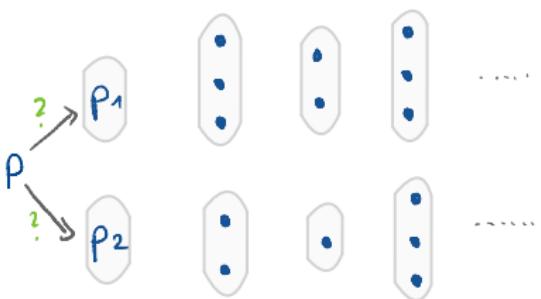
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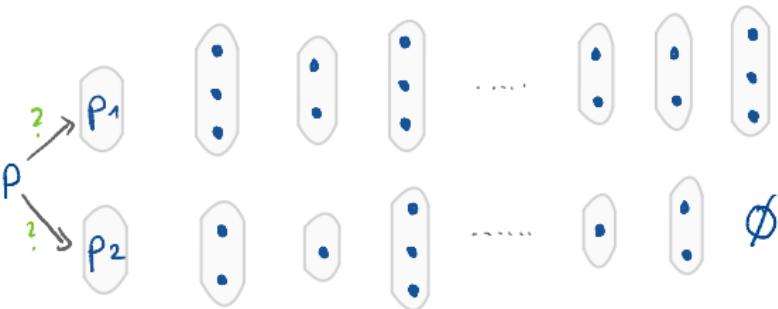


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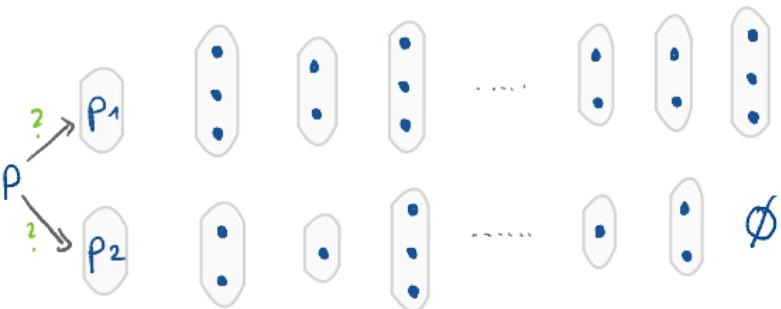
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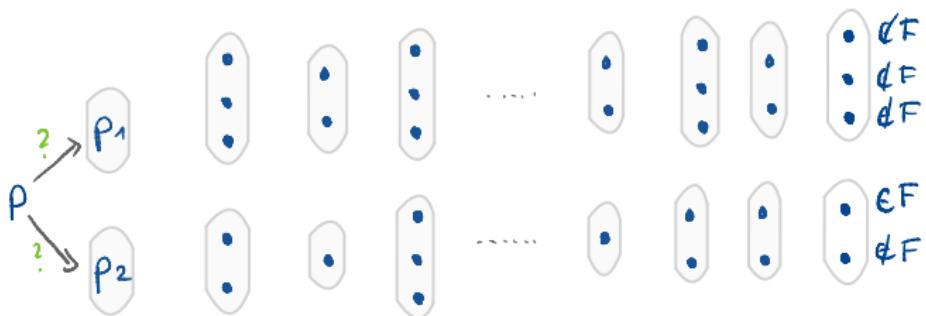


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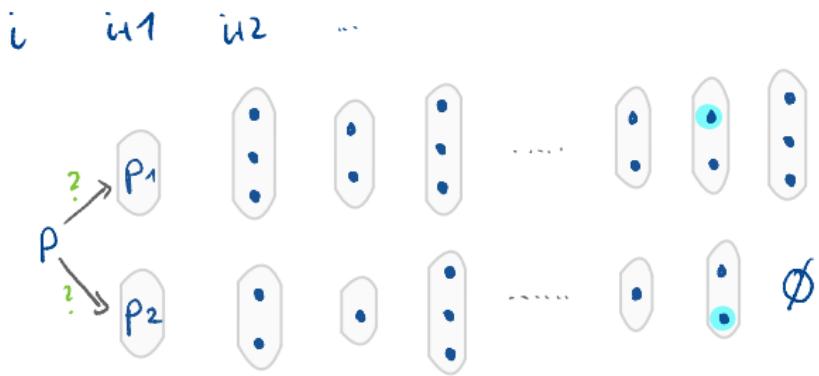
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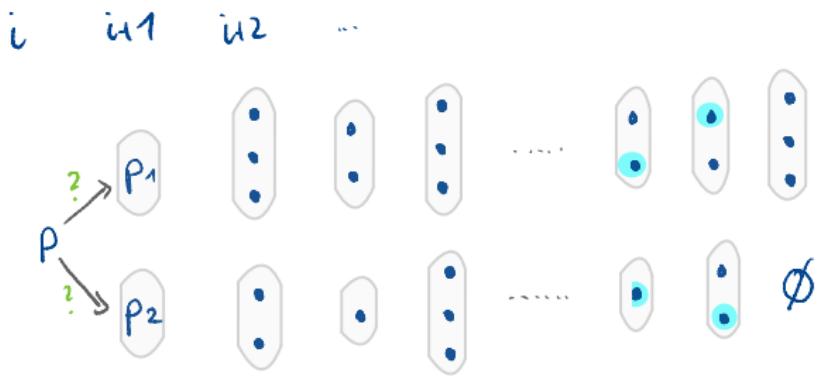


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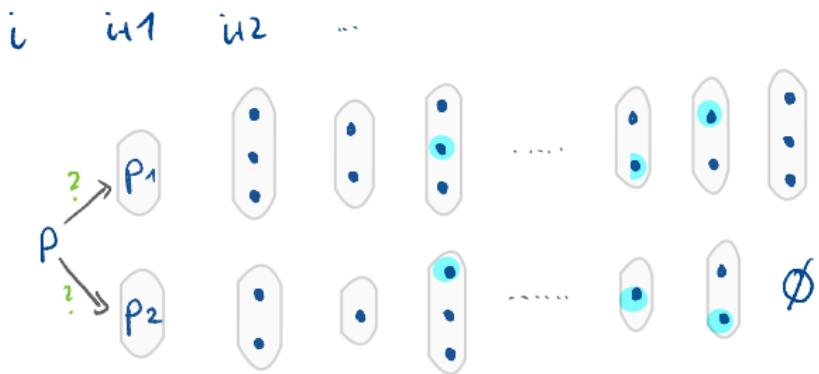
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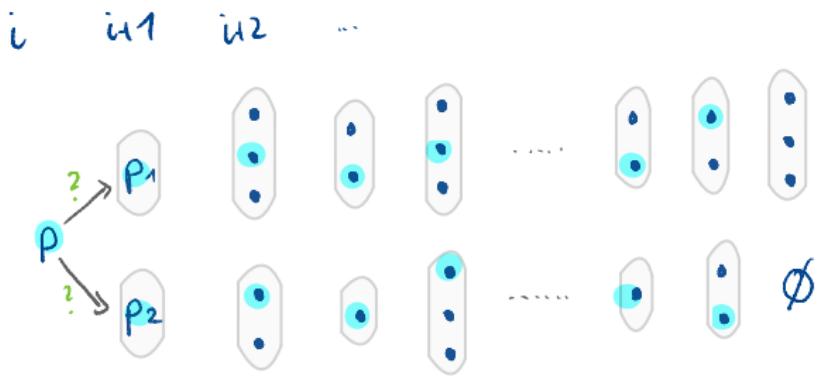
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- $$f = \begin{matrix} \text{DET} & \text{coDET} \\ f_2 \circ f_1 & \end{matrix} = \begin{matrix} \text{DET} & \text{2-DET} \\ f_2 \circ f_1 & \end{matrix}$$

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Elgot
Mezei

Hopcroft
Ullman

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- $\text{RAT} \equiv \text{DET} \circ \text{coDET} \equiv \text{DET} \circ \text{2DET} \stackrel{\substack{\text{Elgot} \\ \text{Mezei}}}{=} \text{2DET}$
 $\stackrel{\substack{\text{Hooper} \\ \text{Ullman}}}{=}$
 $\begin{array}{c} \text{2WAY} \quad q \xrightarrow{\sigma | u, d} q' \\ \text{DET} \quad p \xrightarrow{u | v} p' \\ \text{comp} \quad (q, p) \xrightarrow{\sigma | v, d} (q', p') \end{array}$

SUMMARY

Thm

$\{NFT \equiv UFT \supsetneq \text{sequential FTs}$

\cap
 $2DFT$

SUMMARY

Thm $f_{NFT} \equiv UFT \supsetneq \text{sequential FTs}$

\cap
 $2DFT$

Thm $f_{SST} \equiv JSST$

SUMMARY & MORE

Thm $f_{NFT} \equiv UFT \supsetneq \text{sequential FTs}$
 \cap
2DFT

Thm $f_{SST} \equiv USST \equiv DSST \equiv 2DFT$



Alur, Černý '10

SUMMARY & MORE

Thm

$$\{NFT \equiv UFT \not\supseteq \text{sequential FTs}$$

???

2DFT

Darlaïs, Fournier, Jucker, Uhler '17

Thm

$$\{SST \equiv VSST \equiv DSST \equiv 2DFT \equiv 2RFT$$

Altur, Černý '10

REVERSIBLE
= det. & co-det.

SUMMARY & MORE

Thm

$$\text{fNFT} \equiv \text{UFT} \supsetneq \text{sequential FTs}$$

↑
1

2DFT

Dartois, Fournier, Jecher, Ushke '17

Thm

$$\text{fSST} \equiv \text{VSST} \equiv \text{DSST} \equiv \text{2DFT} \equiv \text{2RFT}$$

Ahu, Černý '10

REVERSIBLE
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Thm

Every relation recognizable by a 2NFT contains a function with equal domain that can be recognized

by a | 2DFT. DeSouza '13

| 2RFT Dartois, Fournier, Jecher, Ushke '17

FUNCTION CONCATENATION

Thm Every rational function f can be expressed as $f = f_2 \circ f_1$,
 f_2 is left-sequential, f_1 is right-sequential.

Egerváry '65

right-seq. (co-dil. TFAE):

on input $u = u_1 u_2 \dots u_n$ the i th output letter = $f(p, q) \mid u : p \xrightarrow{u_1 \dots u_n} q \in F\}$

a	a	a	a	a	a
(1,1)	(0,1)	(1,1)	(0,1)	(1,1)	(0,1)
(0,4)	(2,1)	(0,4)	(2,1)	(0,4)	(2,1)
(4,4)	(3,4)	(4,4)	(3,4)	(0,4)	(3,4)

left-sequential

(1,1)	(0,1)	(1,1)	(0,1)	(1,1)	(0,1)
(0,4)	(2,1)	(0,4)	(2,1)	(0,4)	(2,1)
(4,4)	(3,4)	(4,4)	(3,4)	(0,4)	(3,4)

ϵ	b	b	b	b	b
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$T = (\lambda, \sigma)$ unambiguous

